

**FORM PTO - 1449 (Modified)**  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use Several Sheets if Necessary)

ATTORNEY DOCKET NO: 6006-157  
APPLICANT: Banas, et al.  
FILING DATE: 5/6/04  
SERIAL NO: 10/840,205  
GROUP: 3731

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Ref. Desig.	Document Number	Date	Name	Class	Subclass
SG	AA4	5,843,120	12/1/98	Israel, et al.	606	198
	AB4	6,013,054	1/11/00	Jiun Yan	604	096
	AC4	6,113,750	9/5/00	Shinmura, et al.	204	192.15
	AD4	6,120,536	9/19/00	Ding, et al.	623	011.43
	AE4	01/0039449	11/8/01	Johnson, et al.	623	1.19
	AF4	6,207,536	3/27/01	Matsumoto, et al.	438	478
	AG4	6,287,277	9/11/01	Yan	604	096.01
	AH4	6,287,435	9/11/01	Drewery, et al.	204	298.09
	AI4	6,290,721	9/18/01	Heath	623	1.15
	AJ4	6,293,967	9/25/01	Shanley	623	1.15
	AK4	01/0032013	10/18/01	Marton		

**FOREIGN PATENT DOCUMENTS**

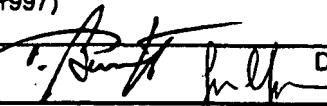
Ref. Desig.	Document Number	Date	Country	Class	Subclass	Trans. Yes No
AL4	11267462	10/5/99	Japan	B01D	59/34	
AM4	51055724	5/17/76	Japan	C22C	01/02	
AN4	01/566502	8/9/01	WIPO	A61F	2/06	
AO4						
AP4						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

AR4 "Constitutive Parts of a Shape Memory Alloy Titanium Nickel Thin Film Catheter" by L. Buchaillot, et al., Proceedings of the Second International Conference on Shape Memory and Superelastic Technologies Asilomar Conference Center, Pacific Grove, California, USA, pp. 183-188 (1997)

AS4 "The Characteristics of NiTi HCD-Deposited SMA Films" by H. Weixin, et al., Proceedings of the Second International Conference on Shape Memory and Superelastic Technologies Asilomar Conference Center, Pacific Grove, California, USA, pp. 167-172 (1997)

AT4 "Microstructure of Ti-Rich TiNi Thin Films" by A. Ishida, et al., Proceedings of the Second International Conference on Shape Memory and Superelastic Technologies Asilomar Conference Center, Pacific Grove, California, USA, pp. 161-166 (1997)

EXAMINER:  DATE CONSIDERED 4/19/06 8/20/2007  
Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.